Analysis of Three-Dimensional Shapes (IN2238, TU München, Summer 2015) The First Fundamental Form (04.05.2015)

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Last time we have introduced the main notions of **differential geometry** that we will be using in this course.

In particular, we showed how to model a 3D shape as a **regular surface**, that is, just a collection of deformed plane patches (called *surface elements*) glued together so as to form something smooth.





The general idea of this approach is that we wish to analyze shapes according to a simple recipe:

- Consider each point of the shape as belonging to some **surface element**.
- Each surface element is the image of a known **diffeomorphism**, namely a **parametrization** function (or **chart**)  $\mathbf{x} : \mathbb{R}^2 \to \mathbb{R}^3$
- Talking about the surface then corresponds to talking about **x**.



In doing so, the are some **properties** that we naturally expect to be satisfied:

- The local properties of the surface should not depend on the specific choice of a parametrization **x**.
- Since we want to speak about tangent planes, the parametrization should be *differentiable*.
- Since we know how to do calculus in **R**<sup>*n*</sup>, we would like to transfer this knowledge to the study of non-Euclidean domains (the surface).



 $\mathbf{d}\mathbf{x}_p(e_2) = \mathbf{x}_v$ 



Let us consider a triangle mesh composed of *m* triangles. Our triangle-based parametrization is then described by the charts  $\mathbf{x}_j : \mathbb{R}^2 \to \mathbb{R}^3$  for j = 1, ..., m

$$\mathbf{x}_{j}(u,v) = x_{j,1} + u(x_{j,2} - x_{j,1}) + v(x_{j,3} - x_{j,1})$$



with  $u \in [0, 1], v \in [0, 1 - u]$ .

# Measuring lengths and areas

We are going to introduce a tool for measuring **metric properties** of a surface, such as *lengths*, *areas*, and *integrals* of scalar functions.



Length of a curve



Area of a region



Integral of a function  $f: S \rightarrow \mathbf{R}$ 

The quadratic form  $I_p: T_p(S) \rightarrow \mathbf{R}$  given by

$$I_{p}(w) = \langle w, w \rangle_{p} = \left\| w \right\|^{2}$$

is called the **first fundamental form** of the regular surface *S* at *p*.

We write  $\langle \cdot, \cdot \rangle_p$  to remind ourselves that we are computing the inner product on the tangent plane at *p*.

The first fundamental form is, intuitively, the expression of how the surface *S* "inherits" the natural inner product of  $\mathbb{R}^3$ .

Let us denote by { $\mathbf{x}_u, \mathbf{x}_v$ } the basis associated to a parametrization  $\mathbf{x}(u, v)$  at p (thus, { $\mathbf{x}_u, \mathbf{x}_v$ } spans the tangent plane  $T_p(S)$ ).

Any vector  $w \in T_p(S)$  is the tangent vector to a curve  $\alpha(t) = \mathbf{x}(u(t), v(t))$ which lies on the surface, with  $t \in (-\varepsilon, \varepsilon)$  and  $p = \alpha(0)$ .

Then we can write:

chain rule  

$$I_{p}(w) = I_{p}(\alpha'(0)) = \left\langle \alpha'(0), \alpha'(0) \right\rangle_{p} = \left\langle \mathbf{x}_{u}u' + \mathbf{x}_{v}v', \mathbf{x}_{u}u' + \mathbf{x}_{v}v' \right\rangle_{p}$$

$$= \left\langle \mathbf{x}_{u}, \mathbf{x}_{u} \right\rangle_{p} (u')^{2} + 2\left\langle \mathbf{x}_{u}, \mathbf{x}_{v} \right\rangle_{p} u'v' + \left\langle \mathbf{x}_{v}, \mathbf{x}_{v} \right\rangle_{p} (v')^{2}$$

$$= E(u')^{2} + 2Fu'v' + G(v')^{2}$$

$$I_{p}(w) = E(u')^{2} + 2Fu'v' + G(v')^{2}$$

$$E = \langle \mathbf{x}_{u}, \mathbf{x}_{u} \rangle_{p}$$

$$F = \langle \mathbf{x}_{u}, \mathbf{x}_{v} \rangle_{p}$$

$$I_{p}(w) = (u' \quad v') \begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} u' \\ v' \end{pmatrix}$$

$$G = \langle \mathbf{x}_{v}, \mathbf{x}_{v} \rangle_{p}$$
also called (Riemannian) **metric** tenso

*E*, *F*, and *G* are often called the "coefficients" or "components" of the first fundamental form. These coefficients play important roles in many intrinsic quantities of the surface.

By letting *p* run in the neighborhood defined by  $\mathbf{x}(u,v)$  we obtain smooth functions E(u,v), F(u,v), G(u,v). A manifold together with this smooth inner product is called a **Riemannian manifold**.

$$I_{p}(w) = E(u')^{2} + 2Fu'v' + G(v')^{2}$$

$$E = \langle \mathbf{x}_{u}, \mathbf{x}_{u} \rangle_{p}$$

$$F = \langle \mathbf{x}_{u}, \mathbf{x}_{v} \rangle_{p}$$

$$G = \langle \mathbf{x}_{v}, \mathbf{x}_{v} \rangle_{p}$$

$$I_{p}(w) = (u' \quad v') \begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} u' \\ v' \end{pmatrix}$$

this is often called just g

We have seen that the differential map associated to **x** is represented by the Jacobian matrix:

$$\mathbf{D}\mathbf{x} = \begin{pmatrix} \vdots & \vdots \\ \mathbf{x}_u & \mathbf{x}_v \\ \vdots & \vdots \end{pmatrix}$$

Then, it is easy to see that:

$$g = \mathbf{D}\mathbf{x}^{\mathrm{T}}\mathbf{D}\mathbf{x} = \begin{pmatrix} \langle \mathbf{x}_{u}, \mathbf{x}_{u} \rangle & \langle \mathbf{x}_{u}, \mathbf{x}_{v} \rangle \\ \langle \mathbf{x}_{v}, \mathbf{x}_{u} \rangle & \langle \mathbf{x}_{v}, \mathbf{x}_{v} \rangle \end{pmatrix}$$

#### Parametrizations

$$I_{p}(w) = \begin{pmatrix} u' & v' \end{pmatrix} \begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} u' \\ v' \end{pmatrix} \qquad E = \langle \mathbf{x}_{u}, \mathbf{x}_{u} \rangle_{p} \quad F = \langle \mathbf{x}_{u}, \mathbf{x}_{v} \rangle_{p} \quad G = \langle \mathbf{x}_{v}, \mathbf{x}_{v} \rangle_{p}$$



generic parametrization



conformal parametrization  $F\equiv 0\,, E=G$ 



orthogonal parametrization  $F \equiv 0$ 



isometric parametrization  $F\equiv 0\,, E=G=1$ 

## The confusing example

Consider a plane  $S \subset \mathbb{R}^3$  passing through  $q_0$  and containing the *orthonormal* vectors  $\widetilde{W}_1$  and  $\widetilde{W}_2$ .

$$\widetilde{\mathbf{x}}(u,v) = q_0 + u\widetilde{w}_1 + v\widetilde{w}_2 \quad \Longrightarrow \quad \begin{aligned} \widetilde{\mathbf{x}}_u &= \widetilde{w}_1 \\ \widetilde{\mathbf{x}}_v &= \widetilde{w}_2 \end{aligned}$$

We want to compute the first fundamental form for an arbitrary point *q* in *S*.



Thus, the first fundamental form of *w* at *p* is  $I_p((\tilde{\alpha}, \tilde{\beta})) = \begin{pmatrix} \tilde{\alpha} & \tilde{\beta} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \tilde{\alpha} \\ \tilde{\beta} \end{pmatrix} = \tilde{\alpha}^2 + \tilde{\beta}^2$ 

# Example 2 (plane)

Consider the previous example, but this time let  $||w_1|| = 1$  and  $||w_2|| = 2$ . We are changing the parametrization **x**, but still we expect that the lengths of vectors in  $T_p(S)$  do *not* change (as they are a property of the <u>surface</u>).

Say, for example, that we take the same (p,w) from the previous example.

As before, we have  $\mathbf{x}_u = w_1$ ,  $\mathbf{x}_v = w_2$ , and then  $g = \begin{pmatrix} 1 & 0 \\ 0 & 4 \end{pmatrix}$ .



The two bases, and thus the coefficients for *w* are <u>different</u> in the two examples.

previous example:  $w = \widetilde{\alpha} \widetilde{\mathbf{x}}_u + \widetilde{\beta} \widetilde{\mathbf{x}}_v$ 

this example:

 $w = \alpha \mathbf{X}_{u} + \beta \mathbf{X}_{v}$ 

$$\alpha \mathbf{x}_{u} + \beta \mathbf{x}_{v} \stackrel{!}{=} \widetilde{\alpha} \widetilde{\mathbf{x}}_{u} + \widetilde{\beta} \widetilde{\mathbf{x}}_{v}$$

We can now compute  $I_p((\alpha,\beta)) = \begin{pmatrix} \alpha & \beta \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 4 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} \widetilde{\alpha} & \frac{\widetilde{\beta}}{2} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 4 \end{pmatrix} \begin{pmatrix} \widetilde{\alpha} \\ \frac{\widetilde{\beta}}{2} \end{pmatrix} = \widetilde{\alpha}^2 + \widetilde{\beta}^2$ 

# Example 3 (plane)

Let's make it more interesting and let  $||w_1|| = 1$ ,  $||w_2|| = 1$ , and  $\langle w_1, w_2 \rangle = \frac{1}{\sqrt{2}}$ . Again, we expect that the length of *w* does *not* change.

Once again, we have  $\mathbf{x}_u = w_1$ ,  $\mathbf{x}_v = w_2$ , and now  $g = \begin{pmatrix} 1 & 1/\sqrt{2} \\ 1/\sqrt{2} & 1 \end{pmatrix}$ .

Even though the coefficients in *g* are different, again we expect the first fundamental form to be the same as before.



## Example 4 (cylinder)



$$\mathbf{x}(u,v) = (\cos u, \sin u, v)$$

$$U = \{(u,v) \in \mathbf{R}^{2}; \ 0 < u < 2\pi, \ -\infty < v < \infty\}$$

$$\mathbf{x}_{u} = (-\sin u, \cos u, 0), \ \mathbf{x}_{v} = (0,0,1)$$

$$E = \sin^{2} u + \cos^{2} u = 1$$

$$F = 0$$

$$G = 1$$

$$\Rightarrow g = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

We notice that the plane and the cylinder behave <u>locally</u> in the same way, since their first fundamental forms are equal.

In other words, plane and cylinder are *locally isometric*. We will discuss about local isometries more in detail at a later time.

# Example 5a (sphere)

$$\mathbf{x}: (0, 2\pi) \times (-\frac{\pi}{2}, \frac{\pi}{2}) \to \mathbb{R}^3 \qquad \mathbf{x}(u, v) = \begin{pmatrix} \cos(u) \cos(v) \\ \sin(u) \cos(v) \\ \sin(v) \end{pmatrix}$$

$$D\mathbf{x} = \begin{pmatrix} \vdots & \vdots \\ \mathbf{x}_u & \mathbf{x}_v \\ \vdots & \vdots \end{pmatrix} = \begin{pmatrix} -\sin(u)\cos(v) & -\cos(u)\sin(v) \\ \cos(u)\cos(v) & -\sin(u)\sin(v) \\ 0 & \cos(v) \end{pmatrix}$$



 $g = \mathbf{D}\mathbf{x}^{\mathrm{T}}\mathbf{D}\mathbf{x} = \begin{pmatrix} \cos^2(v) & 0\\ 0 & 1 \end{pmatrix}$ 

From this example it becomes evident that the coefficients E, F, G are indeed differentiable functions E(u,v), F(u,v), G(u,v).

Thus, if  $w = \alpha \mathbf{x}_u + \beta \mathbf{x}_v$  is the tangent vector to the sphere at point  $\mathbf{x}(u,v)$ , then its squared length is given by  $|w|^2 = I(w) = \alpha^2 \cos^2(v) + \beta^2$ .

# Example 5b (sphere)

$$\mathbf{y}: \mathbb{R}^2 \to \mathbb{R}^3 \qquad \qquad \mathbf{y}(\tilde{u}, \tilde{v}) = \frac{1}{\tilde{u}^2 + \tilde{v}^2 + 1} \begin{pmatrix} 2\tilde{u} \\ 2\tilde{v} \\ \tilde{u}^2 + \tilde{v}^2 - 1 \end{pmatrix}$$

$$D\mathbf{y}(\tilde{u}, \tilde{v}) = \frac{2}{(\tilde{u}^2 + \tilde{v}^2 + 1)^2} \begin{pmatrix} \tilde{v}^2 - \tilde{u}^2 + 1 & 2\tilde{u}\tilde{v} \\ 2\tilde{u}\tilde{v} & \tilde{u}^2 - \tilde{v}^2 + 1 \\ 2\tilde{u} & 2\tilde{v} \end{pmatrix}$$

 $g = \mathbf{D}\mathbf{y}^{\mathrm{T}}\mathbf{D}\mathbf{y}$ 

The result is probably going to look not very nice.

In general, from a computational point of view it is much more convenient to plug in the values for  $\tilde{u}, \tilde{v}$  directly in  $Dy(\tilde{u}, \tilde{v})$ , and only then compute g.

## Length of a curve

By knowing the first fundamental form, we can treat metric questions on a regular surface without further references to the ambient space.

arc-length of a curve 
$$\alpha : (0,T) \to S$$
  $s(t) = \int_{0}^{t} \|\alpha'(x)\| dx = \int_{0}^{t} \sqrt{I(\alpha'(x))} dx$   
Remember that  $E, F, G$  are actually functions of  $(u,v)$ , so in general they are changing along the curve.  
Thus, if  $\alpha(t) = \mathbf{x}(u(t), v(t))$  is contained in a surface element parametrized by  $\mathbf{x}(u,v)$ ,  $s(t) = \int_{0}^{t} \sqrt{E(u')^{2} + 2Fu'v' + G(v')^{2}} dt$ 

0

## Length of a curve



## Length of a curve

**Pitfall**: Notice that we are talking about length of curves **within** surface elements, thus in general this construction cannot be used to compute distances among *any two* given surface points.

In fact, we wrote:

Thus, if  $\alpha(t) = \mathbf{x}(u(t), v(t))$  is contained in a surface element parametrized by  $\mathbf{x}(u,v)$ , we can compute the length as:

$$s(t) = \int_{0}^{t} \sqrt{E(u')^{2} + 2Fu'v' + G(v')^{2}} dt$$



## Arc length element

Length 
$$s(t) = \int_{0}^{t} \|\alpha'(x)\| dx$$

The first fundamental theorem of calculus gives us:

$$\frac{ds}{dt} = \|\alpha'(t)\|$$

$$\mathbf{I}$$

$$ds = \|\alpha'(t)\|dt$$

A more compact notation for the length of a curve can then be defined as:

$$\operatorname{length}(\alpha) = \int_{\alpha} ds$$

In terms of the metric tensor, the **arc length element** *ds* is given by:

$$ds = \sqrt{Edu^2 + 2Fdudv + Gdv^2} dt$$

## Area of a region

The first fundamental form can be employed to compute the area of a bounded region *R* of a regular surface *S*. If  $R \subset S$  is contained in the image of the parametrization  $\mathbf{x}: U \subset \mathbf{R}^2 \to S$ , the **area** of *R* is **defined** by

$$A(R) = \iint_{Q} \|\mathbf{x}_{u} \times \mathbf{x}_{v}\| du dv, \qquad Q = \mathbf{x}^{-1}(R)$$



Area of a region

$$A(R) = \iint_{Q} \|\mathbf{x}_{u} \times \mathbf{x}_{v}\| du dv, \qquad Q = \mathbf{x}^{-1}(R)$$



The area of a region on the surface is defined as the sum of the areas of parallelograms tangent to that surface region.

## Area of a region

$$A(R) = \iint_{Q} \|\mathbf{x}_{u} \times \mathbf{x}_{v}\| du dv, \qquad Q = \mathbf{x}^{-1}(R) \qquad g = \begin{pmatrix} E & F \\ F & G \end{pmatrix}$$

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Observe that:

$$\|\mathbf{x}_{u} \times \mathbf{x}_{v}\|^{2} = \|\mathbf{x}_{u}\|^{2} \|\mathbf{x}_{v}\|^{2} \sin^{2} \boldsymbol{\omega} = \|\mathbf{x}_{u}\|^{2} \|\mathbf{x}_{v}\|^{2} (1 - \cos^{2} \boldsymbol{\omega}) = \|\mathbf{x}_{u}\|^{2} \|\mathbf{x}_{v}\|^{2} - \langle \mathbf{x}_{u}, \mathbf{x}_{v} \rangle^{2}$$
  
We can rewrite:

$$\|\mathbf{x}_{u} \times \mathbf{x}_{v}\| = \sqrt{\|\mathbf{x}_{u}\|^{2} \|\mathbf{x}_{v}\|^{2} - \langle \mathbf{x}_{u}, \mathbf{x}_{v} \rangle^{2}} = \sqrt{EG - F^{2}} = \sqrt{\det g}$$

And so we come to the more compact writing:

$$A(R) = \iint_Q \sqrt{\det g} \, du \, dv$$

#### Area element

Similarly to the arc length case, we can define the **area element** *da* as:

 $da = \sqrt{\det g} du dv$ 

And then we can use the following notation:

$$A(R) = \int_{R} da$$

The area element is also called (**Riemannian**) volume form. In the case of 2-dimensional manifolds (our case), volume corresponds to area.

We have obtained two alternative expressions for measuring lengths and areas: one is defined in **parameter space**, the other is defined directly on the **surface**.

Parameter space 
$$\operatorname{length}(\alpha) = \int_{0}^{T} \|\alpha'(t)\| dt = \int_{0}^{T} \sqrt{Edu^{2} + 2Fdudv} + Gdv^{2} dt$$
  
Surface 
$$\operatorname{length}(\alpha) = \int_{\alpha} ds \qquad ds = \sqrt{Edu^{2} + 2Fdudv} + Gdv^{2} dt$$

Parameter space

$$A(R) = \iint_{Q} \|\mathbf{x}_{u} \times \mathbf{x}_{v}\| du dv, \qquad Q = \mathbf{x}^{-1}(R)$$
$$A(R) = \int_{R} da \qquad da = \sqrt{\det g} du dv$$

Surface

# Integral of a function

We can follow a similar approach to compute the integral of a function defined over the surface,  $f: S \rightarrow \mathbf{R}$ 

Let us use our newly introduced notation:

 $\int_{R} f(x) dx$ 

Analogously to the previous slide, we get to the **definition**:

$$\int_{R} f(x) dx = \iint_{Q} f(\mathbf{x}(u, v)) \sqrt{\det g} \, du dv, \qquad Q = \mathbf{x}^{-1}(R)$$

$$\int_{\phi(U)} f(\mathbf{v}) \, d\mathbf{v} = \int_U f(\phi(\mathbf{u})) \left| \det(\mathbf{D}\phi)(\mathbf{u}) \right| \, d\mathbf{u}.$$

Generalizes the substitution rule in classical multivariate calculus

#### **Discretization: chart**

Let us consider a triangle mesh composed of *m* triangles. Our triangle-based parametrization is then described by the charts  $\mathbf{x}_j : \mathbb{R}^2 \to \mathbb{R}^3$  for j = 1, ..., m

$$\mathbf{x}_{j}(u,v) = x_{j,1} + u(x_{j,2} - x_{j,1}) + v(x_{j,3} - x_{j,1})$$

with  $u \in [0, 1], v \in [0, 1 - u]$ .

#### **Discretization: metric tensor**

$$\mathbf{x}_{j}(u,v) = x_{j,1} + u(x_{j,2} - x_{j,1}) + v(x_{j,3} - x_{j,1})$$



We simply have:

$$\mathbf{x}_{u} = x_{j,2} - x_{j,1} = e_{21}$$
$$\mathbf{x}_{v} = x_{j,3} - x_{j,1} = e_{31}$$

The coefficients for the metric tensor / first fundamental form are thus given by:

$$g_j = \begin{pmatrix} E_j & F_j \\ F_j & G_j \end{pmatrix} = \begin{pmatrix} \langle \mathbf{x}_u, \mathbf{x}_u \rangle & \langle \mathbf{x}_u, \mathbf{x}_v \rangle \\ \langle \mathbf{x}_v, \mathbf{x}_u \rangle & \langle \mathbf{x}_v, \mathbf{x}_v \rangle \end{pmatrix} = \begin{pmatrix} \|e_{21}\|^2 & \langle e_{21}, e_{31} \rangle \\ \langle e_{21}, e_{31} \rangle & \|e_{31}\|^2 \end{pmatrix}$$

#### **Discretization: area element**

 $\mathbf{x}_{j}(u,v) = x_{j,1} + u(x_{j,2} - x_{j,1}) + v(x_{j,3} - x_{j,1})$ 



$$g_j = \begin{pmatrix} \|e_{21}\|^2 & \langle e_{21}, e_{31} \rangle \\ \langle e_{21}, e_{31} \rangle & \|e_{31}\|^2 \end{pmatrix}$$

Let us compute the area of the triangle by applying our definition of area of a region:

$$\int_{T_j} da = \int_0^1 \int_0^{1-u} \sqrt{\det g_j} du dv = 2A(T_j) \int_0^1 \int_0^{1-u} du dv = 2A(T_j) \frac{1}{2} = A(T_j)$$

### **Discretization: integral**

 $\mathbf{x}_{j}(u,v) = x_{j,1} + u(x_{j,2} - x_{j,1}) + v(x_{j,3} - x_{j,1})$ 



 $f: S \to \mathbb{R}$  behaves **linearly** within each triangle and it is uniquely determined by its values at the vertices of the triangle.  $v_{\uparrow}$ 

$$\int_{T_j} f \, da = \int_0^1 \int_0^{1-u} f(\mathbf{x}(u,v)) \sqrt{\det g_j} \, du \, dv$$
  
=  $\int_0^1 \int_0^{1-u} f(x_{j,1}) (1-u-v) + f(x_{j,2}) u + f(x_{j,3}) v \sqrt{\det g_j} \, du \, dv$   
=  $\frac{1}{6} (f(x_{j,1}) + f(x_{j,2}) + f(x_{j,3})) 2A(T_j)$   
=  $\frac{1}{3} (f(x_{j,1}) + f(x_{j,2}) + f(x_{j,3})) A(T_j)$ 

### **Discretization: integral**

 $\mathbf{x}_{j}(u,v) = x_{j,1} + u(x_{j,2} - x_{j,1}) + v(x_{j,3} - x_{j,1})$ 



 $f: S \to \mathbb{R}$  behaves **linearly** within each triangle and it is uniquely determined by its values at the vertices of the triangle.

The integral of f over a region  $R \subseteq S$  is just the sum of the integrals over each triangle  $T_j$ .

$$\int_{R} f \, da = \sum_{j=1}^{|R|} \int_{T_j} f \, da$$

# Suggested reading

- *Differential geometry of curves and surfaces*. Do Carmo Chapters 2.5, Appendix 2.B
- Differential Geometry: Curves Surfaces Manifolds.
   W. Kühnel Chapter 3A