



# Chapter 12

## Photometric 3D-reconstruction

Computer Vision I: Variational Methods

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## 3D-scanning

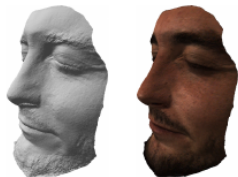
3D-scanning = estimation of shape *and* color



(a)

(b)

(c)



(d)

(e)

[Quéau et al., 2017b, (JMIV)]

- Geometric techniques (e.g. SfM, MVS) based on multi-view consistency only recover shape (no color estimation)
- Photometric techniques (e.g., shape-from-shading and photometric stereo), which are based on **inverting the image formation model**, recover both.





Basics of photometric  
3D-reconstruction

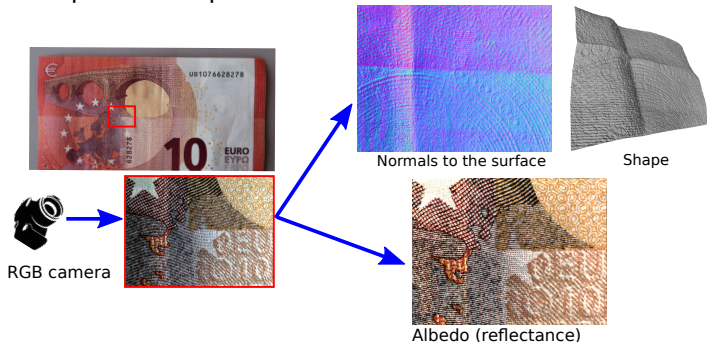
Shape-from-shading

Photometric stereo

## Photometric techniques

Photometric techniques constitute the first choice for the recovery of very thin structures.

Example: close-up on a 10 euros banknote



[Quéau, 2015, (PhD thesis)]

### Photometric 3D-reconstruction =

Shape analysis through *luminous quantities* (photo) *measurement* (metric), by reverting the image formation process.

## Photometric techniques

Photometric techniques constitute the first choice for the recovery of very thin structures.

Example: close-up on a 5 euros banknote



[Quéau et al., 2017g, (QCAV)]



## Photometric techniques

Photometric techniques constitute the first choice for the recovery of very thin structures.

Example: close-up on a 50 cents euros coin



[Quéau et al., 2016a, (CVPR)]



# Photometric techniques

Photometric techniques constitute the first choice for the recovery of very thin structures.

Example: close-up on human skin





## 1 Basics of photometric 3D-reconstruction

## 2 Shape-from-shading

## 3 Photometric stereo

Basics of photometric  
3D-reconstruction

Shape-from-shading

Photometric stereo



## 1 Basics of photometric 3D-reconstruction

## 2 Shape-from-shading

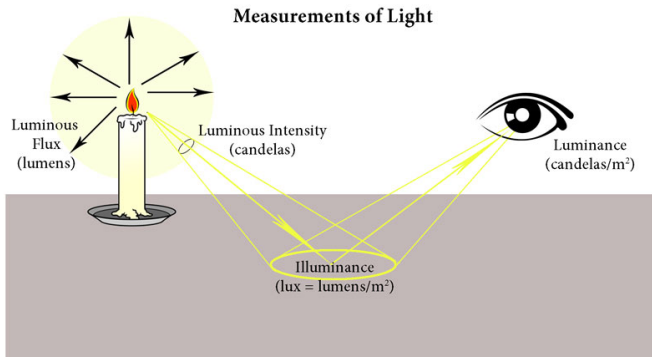
## 3 Photometric stereo

Basics of photometric  
3D-reconstruction

Shape-from-shading

Photometric stereo

## A few photometric definitions

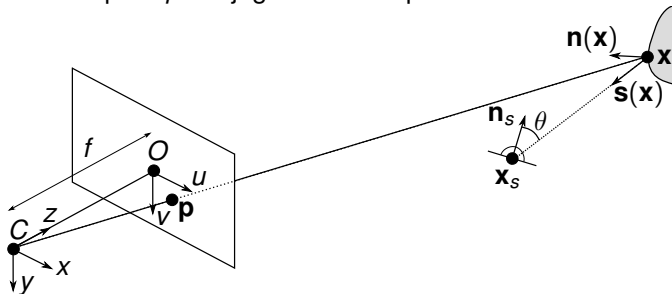


(source: <http://rsagencies.co.za>)

- luminance (radiance) = perceived luminosity -  $\text{cd.m}^{-2} = \text{lm.m}^{-2}.\text{sr}^{-1}$  ( $\text{W.m}^{-2}.\text{sr}^{-1}$ )
- illuminance (irradiance): luminous flux received by a surface per unit area -  $\text{lx} = \text{lm.m}^{-2}$  ( $\text{W.m}^{-2}$ )
- reflectance: proportion of incident light which is reflected by a surface (unit-less)

## Digital cameras as luminance measurement devices

Consider a pixel  $p$  conjugate to a 3D-point  $x$  on the surface.



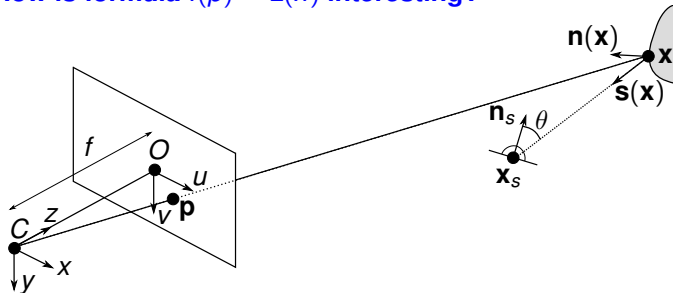
- Digital cameras essentially count the number of photons crossing the cell i.e., the pixel  $p$
  - $I(p) \approx \frac{t}{g} E_s(p)$  with  $t$  the exposure time,  $g$  the gain and  $E_s(p)$  the sensor illuminance at pixel  $p$
  - $E_s(p) \approx L(x)$  with  $L(x)$  the luminance of the point  $x$  on the surface
- The brightness in  $p$  is proportional to the luminance in  $x$ :

$$I(p) \approx L(x)$$





## How is formula $I(p) \approx L(x)$ interesting?



Further consider that incident light in  $x$  is coming from all directions  $\omega$  on the visible hemisphere  $\mathcal{H}(x)$ . Then:

$$I(p) \approx L(x) = \iint_{\omega \in \mathcal{H}(x)} \rho(x) \phi_{\omega} \max\{0, s_{\omega} \cdot n(x)\} d\omega$$

with  $\phi_{\omega}$  the light intensity (in cd) in direction  $\omega$ ,  $s_{\omega}$  the unit-length lighting direction,  $\rho(x)$  the reflectance in point  $x$  (**i.e., the surface material**) and  $n(x)$  the normal to the surface (**i.e., the surface material**)

→ By inverting this image formation model, one may reconstruct the surface shape and its material (color, roughness, etc.)





In general, we need to simplify

$$I(p) \approx L(x) = \int_{\omega \in \mathcal{H}(x)} \rho(x, \dots) \phi_{\omega} \max\{0, \mathbf{s}_{\omega} \cdot \mathbf{n}(x)\} d\omega$$

because of:

- the integral over all lighting directions (partial solution: spherical harmonics, cf. talks of Bjoern and Robert)
- the complexity of the reflectance function  $\rho$ , which generally depends on the angle between incident light and viewing direction (BRDF, for bidirectional reflectance function, see for instance lectures on computer graphics)

(remark: considering multi-channel images adds even more complexity - be careful if you read that such extensions are straightforward ;-)



## A simple, yet effective image formation model

- Assuming a **single light source**, the integrand is a Dirac:

$$\int_{\omega \in \mathcal{H}(x)} \rho(x) \phi_{\omega} \max\{0, s_{\omega} \cdot n(x)\} d\omega = \rho(x, \dots) \phi(x) \max\{0, s(x) \cdot n(x)\}$$

with  $s(x)$  the unit-length direction of the the luminous flux reaching  $x$  and  $\phi(x)$  its density (in  $\text{cd} \cdot \text{m}^{-2}$ ).

- Assume a **Lambertian surface: luminance is independent from the viewing angle**. Corollary:

$$\rho(x, \dots) := \frac{\rho(x)}{\pi}$$

with  $\rho(x) \geq 0$  a scalar function called the **albedo** (0 = black, 1 = white).

**And thus:**

$$I(p) \approx \rho(x) \phi(x) \max\{0, s(x) \cdot n(x)\}$$

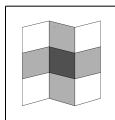
(often **abusively** referred to as Lambert's law)

## Inverting the simplified image formation model

Considering the relationship:

$$I(p) \approx \rho(x)\phi(x) \max\{0, s(x) \cdot n(x)\}$$

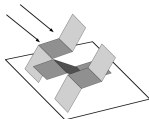
one may want to estimate shape ( $n$ ), reflectance ( $\rho$ ) and lighting ( $\phi$  and  $s$ ). **In general, this is impossible.**



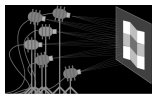
An image



Painter's explanation



Sculptor's explanation



Lighting designer's explanation

Impossible to tell **reflectance** from **shape** and **lighting**  
(images from [Adelson and Pentland, 1996, (Perception as Bayesian inference)])





Basics of photometric  
3D-reconstruction

Shape-from-shading

Photometric stereo

1 Basics of photometric 3D-reconstruction

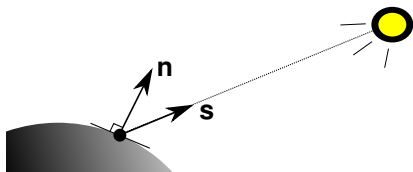
2 Shape-from-shading

3 Photometric stereo

Shape-from-shading [Horn, 1970] is the task of estimating shape, assuming known lighting and reflectance. Classically one further simplifies the previous image formation model

$$\underbrace{I(p)}_{\text{Luminance}} = \underbrace{\rho(x)}_{\text{Albedo}} \underbrace{\phi(x)}_{\text{Intensity}} \underbrace{\max\{0, \mathbf{s}(x) \cdot \mathbf{n}(x)\}}_{\text{shading}}$$

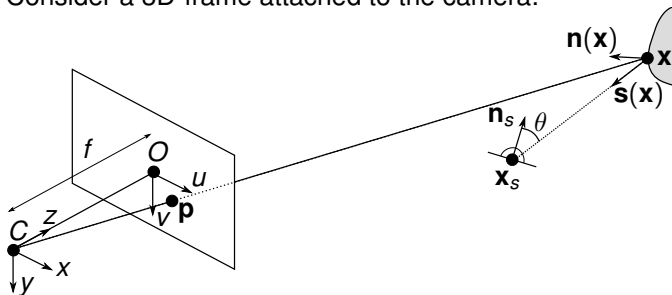
where the *shading* (i.e., the surface illuminance) is the the dot product between the unit-length normal and lighting directions:



- $\mathbf{s} \in \mathbb{S}^2 \subset \mathbb{R}^3$ : lighting direction
- $\mathbf{n} \in \mathbb{S}^2 \subset \mathbb{R}^3$ : normale to the surface (shape)

## Classical assumptions of shape-from-shading

Consider a 3D-frame attached to the camera:



and further assume:

- White surface:  $\rho(x) := 1$
- Distant point light source:  $\phi(x) := \phi > 0$ ,  
 $\mathbf{s}(x) := \mathbf{s} \in \mathbb{S}^2 \subset \mathbb{R}^3$
- Calibrated source:  $\phi := 1$
- Frontal lighting:  $\mathbf{s} := [0, 0, -1]^\top$
- Orthographic camera:  $\mathbf{n}(x) := \frac{1}{\sqrt{|\nabla z(\rho)|^2 + 1}} \begin{bmatrix} \nabla z(\rho) \\ -1 \end{bmatrix}$ ,  
(z: **depth map**)



Plugging  $\rho(x) := 1$ ,  $\mathbf{s}(x) := [0, 0, -1]^\top$ ,  $\phi(x) := 1$ , and  $\mathbf{n}(x) := \frac{1}{\sqrt{|\nabla z(\rho)|^2 + 1}} \begin{bmatrix} \nabla z(\rho) \\ -1 \end{bmatrix}$  in our model

$$\underbrace{I(\rho)}_{\text{Luminance}} = \underbrace{\rho(x)}_{\text{Albedo}} \underbrace{\phi(x)}_{\text{Intensity}} \underbrace{\max\{0, \mathbf{s}(x) \cdot \mathbf{n}(x)\}}_{\text{shading}},$$

we obtain a nonlinear PDE in  $z$  over the image domain  $\Omega$ :

$$I(\rho) = \frac{1}{\sqrt{|\nabla z(\rho)|^2 + 1}}, \quad \rho \in \Omega \subset \mathbb{R}^2.$$

This is directly related to the celebrated **eikonal equation**  $|\nabla z| = f$ . The unique maximum viscosity solution of SfS can thus be determined by solving the eikonal equation [Lions et al., 1993].





## On uniqueness in shape-from-shading

Despite the extremely restrictive assumptions listed above, SfS remains ambiguous. For instance, the two following results are equally valid explanations of the Lena image under such assumptions:

Maximum viscosity solution  
[Cristiani and Falcone, 2007]

Variational solution  
[Quéau et al., 2017f,  
(EMMCVPR)]





Some solutions to disambiguate shape-from-shading

- 0 consider an RGB image with three colored light sources [Kontsevich et al., 1994]
- 0 relax the distant light assumption [Prados and Faugeras, 2005]
- 1 Add priors within a **variational framework** [Horn and Brooks, 1986]
- 2 Consider multiple images under varying lighting: **photometric stereo** [Woodham, 1980]

# The variational approach to shape-from-shading

[Horn and Brooks, 1986] decouple local and global shape estimations, introducing an integrability prior

- 1) Estimate an **integrable** (curl-free) gradient field  $(p, q) := \nabla z$ :

$$\min_{p,q} \iint \left( I - \frac{1}{\sqrt{p^2 + q^2 + 1}} \right)^2 + \lambda (\partial_y p - \partial_x q)^2 \, dx dy$$

(nonlinear global optimization problem: hard to solve)

- 2) **Integrate** this field into a depth map:

$$\min_z \iint \| (p, q) - \nabla z \|^2 \, dx dy$$

(not as straightforward as it seems to be [Quéau et al., 2017c, Quéau et al., 2017d, (JMIV)])



## Some recent developments [Quéau et al., 2017f, (EMMCVPR)]

$(p, q)$  is conservative *by construction*  $\rightarrow$  joint local and global (integrated) estimation:

$$\min_{p,q,z} \iint \left( I - \frac{1}{\sqrt{p^2 + q^2 + 1}} \right)^2 dx dy$$

s.t.  $(p, q) = \nabla z$

One can add **smoothness** and **shape** priors, if needed:

$$\min_{p,q,z} \iint \lambda \left( I - \frac{1}{\sqrt{p^2 + q^2 + 1}} \right)^2 + \nu \sqrt{1 + p^2 + q^2} dx dy$$
$$+ \iint \mu (Kz - z^0)^2 dx dy$$

s.t.  $(p, q) = \nabla z$

Playing with parameters and integration domains, one may achieve shape-from-shading, depth denoising and inpainting, depth refinement and super-resolution, etc. Numerical solution using ADMM.



## Example of result (without regularisation)

Other straightforward extensions include RGB data, spherical harmonics lighting, perspective camera, etc. Example of SfS results from [Quéau et al., 2017f] applied to the dataset from [Han et al., 2013]:



Image



Lighting



3D-reconstruction



## Example of result (with regularisation)



Image



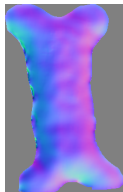
Prior



Lighting



Denoising



Refinement



Matlab code available:

[https://github.com/yqueau/shape\\_from\\_shading](https://github.com/yqueau/shape_from_shading)

## Depth super-resolution for RGB-D sensors

By including lighting (spherical harmonics) and albedo (Mumford-Shah prior), the previous model can achieve state-of-the-art single-shot super-resolution of depth maps for RGBD sensors [Häfner et al., 2018].



Data: LR depth  
+ HR image

HR depth  
+ HR reflectance

(cf. seminar of Bjoern Häfner)





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Basics of photometric  
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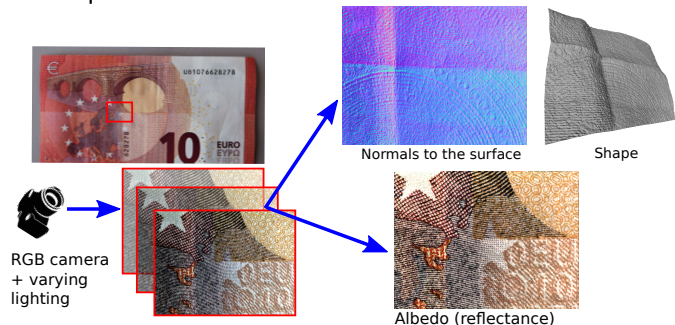


## Photometric stereo

When no prior knowledge is available, it is hopeless to achieve a reasonable 3D-reconstruction based on SfS, due to its ill-posedness.

Photometric stereo [Woodham, 1980] is an extension of SfS which considers multiple images of the surface, taken from the **same viewing angle** but under **varying lighting**.

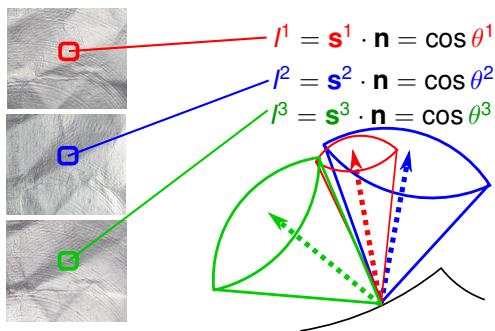
Besides its ability to unambiguously recover thin geometric structures, photometric stereo is the only computer vision technique which is able to **estimate reflectance**.



[Quéau, 2015, PhD thesis]



## Why photometric stereo disambiguates SfS



- $m = 1$  image (SfS), known albedo: infinitely many possible normals in each point
- $m = 2$  images, known albedo: two possible normals [Quéau et al., 2017e, (IVC)]
- $m \geq 3$ : unique approximate solution - problem is over-constrained and thus albedo can be estimated



## Photometric stereo as a linear system of equations

Recall our initial model:

$$\underbrace{I(p)}_{\text{Luminance}} = \underbrace{\rho(x)}_{\text{Albedo}} \underbrace{\phi(x)}_{\text{Intensity}} \underbrace{\max\{0, \mathbf{s}(x) \cdot \mathbf{n}(x)\}}_{\text{shading}}$$

Consider  $m \geq 3$  images  $I^i$  under known, varying lighting  $(\phi^i(x), \mathbf{s}^i(x))$ . Then one needs to solve, in each pixel  $p$ , the system of equations in  $(\rho(x) > 0, \mathbf{n}(x) \in \mathbb{S}^2)$ :

$$I^i(p) = \rho(x) \phi^i(x) \max\{0, \mathbf{s}^i(x) \cdot \mathbf{n}(x)\}, \quad i \in \{1, \dots, m\}$$

Assuming distant light sources ( $s^i(x) = \phi^i(x) \mathbf{s}^i(x) := \mathbf{s}^i \in \mathbb{R}^3$ ), neglecting self-shadows ( $\max\{a, 0\} := a$ ), and plugging together the unknowns into

$$m(x) := \rho(x) \mathbf{n}(x),$$

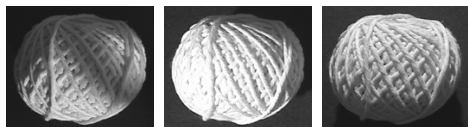
then we obtain a linear system of equations in  $m(x)$ :

$$I^i(p) = \mathbf{s}^i \cdot m(x), \quad i \in \{1, \dots, m\}$$

which we can solve and then deduce  $\rho(x) := |m(x)| > 0$  and  $\mathbf{n}(x) := \frac{m(x)}{|m(x)|}$ .



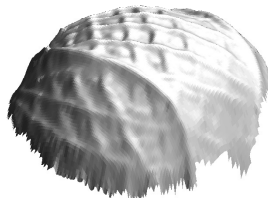
## Two-stages resolution of photometric stereo



→  
(1)



↓ (2)



(1) Estimation of surface normals  
(and albedo)

(2) Integration of normals  
[Quéau et al., 2017c, (JMIV)]

This approach can even be extended to unknown lighting, for instance by using TV regularisation [Quéau et al., 2015].



# Basic photometric stereo strategy

## Data

- lighting vectors

$$s^1, s^2, s^3, \dots \in \mathbb{R}^3$$

- brightness

$$I^1, I^2, I^3, \dots > 0$$

1

$$\min_{m \in \mathbb{R}^3} \sum_{i=1}^m \Phi (|s^i \cdot m - I^i|)$$

with  $\Phi$  some robust estimator

2  $\rho = \|m\|$

3  $\mathbf{n} = \frac{m}{\|m\|}$

4  $\mathbf{n} \rightarrow z$  (integration)



$I^1$



$I^2$



$I^3$



Albedo  $\rho$



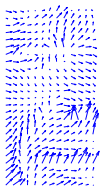
Normals  $\mathbf{n}$



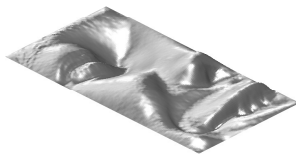
Depth  $z$



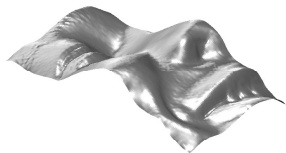
# Integrating the normals (1)



Normals



Integration (Dirichlet)



Integration (periodic)



Integration (natural Neumann)

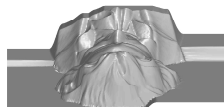


## Integrating the normals (2)

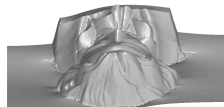
$$\min_z \iint_{x \in \Omega} \Phi \left( \left\| \nabla z - [-m_1/m_3, -m_2/m_3]^T \right\| \right) dx$$



$\Phi = \text{least-squares}$



$\Phi = \text{norm 1}$



$\Phi \text{ non-convex}$

- Least squares: easy / over-smoothing
- Norm 1: convex / staircasing
- Non-convex: harder / better (not faster, but stronger ;)

[Quéau et al., 2017d, (JMIV)]

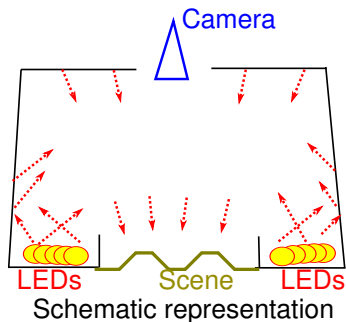


## Experimental setup

(joint work with the Pixience company and Toulouse Tech Transfer)



Dermoscope with LEDs



[Quéau et al., 2017g, QCAV]





# 3D-reconstruction of metallic coins



1 euro (Italie)



50 cents (Espagne)



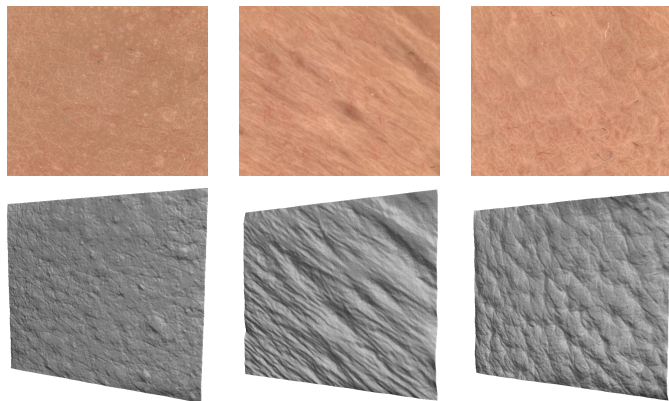
1 yuan (Chine)



3D-reconstructions [Quéau et al., 2016a, (CVPR)]



## 3D-reconstructions of synthetic skin samples



3D-reconstructions [Quéau et al., 2016a, (CVPR)]



# 3D-reconstructions of the human skin



Image 3D de ride

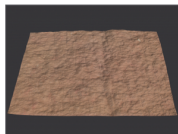


Image 3D de cicatrice

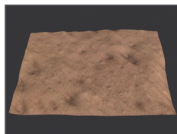
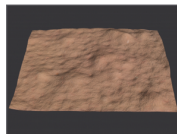
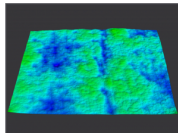


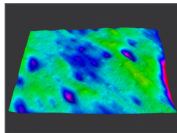
Image 3D d'acné



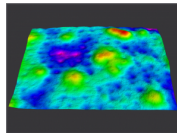
Carte d'élévation de ride



Carte d'élévation de cicatrice



Carte d'élévation d'acné



Source : <http://www.pixience.com/produits-2/c-cube-recherche-clinique/module-3d/>

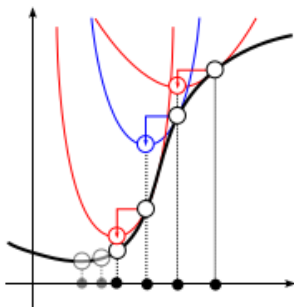
## Integrated variational approach

Direct estimation of depth  $z : \Omega \rightarrow \mathbb{R}$  and albedo  $\rho : \Omega \rightarrow \mathbb{R}$   
solutions of  $l^i = \rho \mathbf{s}^i \cdot \frac{[\nabla z^\top, -1]^\top}{\sqrt{|\nabla z|^2 + 1}}$  [Quéau et al., 2017i, (CVPR)]

**Linearisation**  $\rho := \frac{\rho}{\sqrt{|\nabla z|^2 + 1}}$ , then

$$\min_{z, \rho} \sum_{i=1}^m \iint_{x \in \Omega} \Phi \left( \left| \rho(x) \mathbf{s}^i \cdot [\nabla z(x)^\top, -1]^\top - l^i(x) \right| \right) dx$$

**Non-convex** problem:  
optimisation by alternating reweighted least-squares (alternating MM) [Quéau et al., 2017b, Quéau et al., 2017i, Quéau et al., 2017h, Mélou et al., 2017]



## Straightforward extensions of the integrated variational approach



$$\min_{z, \rho} \sum_{i=1}^m \iint_{x \in \Omega} \Phi \left( \left| \rho(x) \mathbf{s}^i \cdot [\nabla z(x)^\top, -1]^\top - I^i(x) \right| \right) dx$$

- Perspective projection [Quéau et al., 2017i, (CVPR)]
- Uncalibrated PS [Quéau et al., 2017i, (CVPR)]: just include lighting vectors  $\mathbf{s}^i$  in the unknowns – there is still a unique solution under perspective projection
- Color images and reflectance [Quéau et al., 2017b, (JMIV)]
- Nearby, anisotropic light sources [Quéau et al., 2017b, Quéau et al., 2017h, (SSVM + JMIV)] ( $\mathbf{s}^i$  becomes a nonlinear function of  $z$ )
- Depth prior from RGBD sensor [Peng et al., 2017, Quéau et al., 2017a, ICCV + TS]

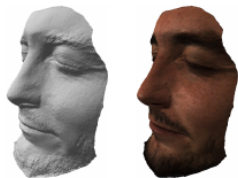
## Example: LED-based photometric stereo [Quéau et al., 2017b, (JMIV)]



(a)

(b)

(c)



(d)

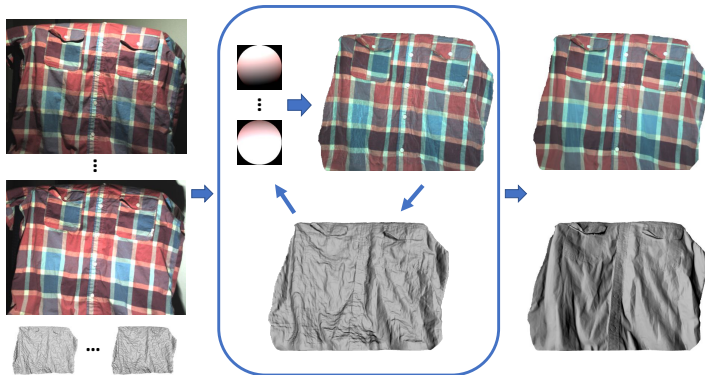
(e)

Codes: [https://github.com/yqueau/near\\_ps](https://github.com/yqueau/near_ps)



# Example: uncalibrated photometric stereo-based depth super-resolution [Peng et al., 2017, (ICCV)]

(cf. seminar of Bjoern Haefner)



Codes: <https://github.com/pengsongyou/SRmeetsPS>



## Just for finishing this series of lectures on a cool (who said useless ?) application

We can use PS to scan people while they are watching their holidays pictures [Quéau et al., 2016b]





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