

Computer Vision Group Prof. Daniel Cremers



# **Robotic 3D Vision**

### Lecture 16: 3D Object Detection 2 – 3D Keypoints, Iterative Closest Points

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#### What We Will Cover Today

- 3D keypoint detectors and local descriptors
- Global 3D object descriptors
- Iterative closest points algorithm

### **3D Object Detection with Local Keypoints**

- Detect and match a set of local keypoints between model and scene
- Locality of keypoints provides robustness against occlusions



- Local keypoints should be distinctive and repeatable, combined properties of detector and descriptor!
- Alignment for pose estimation:
  - 3D-to-3D alignment
  - Pose voting from keypoint match through local reference frames

### **3D Object Detection with Local Keypoints**

- Render views of 3D CAD models and extract keypoints for rendered views
- Or Extract keypoints directly from 3D object models (f.e. CAD or scanned)
  - Rely only on geometry
  - Not on visual appreance





### **3D Keypoint Detectors**

- Strategy 1: Uniform spatial sampling
- Strategy 2: Detection of keypoints at maxima of 3D interest measures
  - Intrinsic Shape Signatures (ISS) Detector, Zhong 2009
  - Harris3D

•••

 Extraction of a local reference frame



**Data-driven selection** of both locations and neighborhoods

#### **3D Surface Representation**

- 3D points in general represent object surface
  - 3D points don't give insight on surface orientation and which points belong to the same surface
- Use surface elements (Surfels) to represent object surface
  - Point on a surface is defined by its
    - 3D localtion
    - Surface normal
    - Color
    - etc.





Image from Y. Sheikh

#### **3D Surface Representation**

• How to obtain surface normals for a set of 3D points

$$\overline{\mathbf{p}_{i}} = \frac{1}{N} \sum_{j:|\mathbf{p}_{j} - \mathbf{p}_{i}| < r} \mathbf{p}_{j} \quad \text{with} \quad N = |j:|\mathbf{p}_{j} - \mathbf{p}_{i}| < r|$$
$$\Sigma(\mathbf{p}_{i}) = \sum_{j:|\mathbf{p}_{j} - \mathbf{p}_{i}| < r} (\mathbf{p}_{j} - \overline{\mathbf{p}_{i}}) (\mathbf{p}_{j} - \overline{\mathbf{p}_{i}})^{T}$$

- Sometimes  $\overline{\mathbf{p}_i}$  is replace by the point  $\mathbf{p}_i$  itself
- We obtain the surface normal as the eigenvector corresponding to the smallest eigenvalue of the covariance matrix  $\Sigma(\mathbf{p}_i)$
- Unique direction can be obtained based on sensor view point for instance

## **Recap: Structure Tensor**

$$E(u,v) = \begin{bmatrix} u & v \end{bmatrix} \left( \sum_{(x,y) \in W} \begin{bmatrix} I_x^2 & I_x I_y \\ I_y I_x & I_y^2 \end{bmatrix} \right) \begin{bmatrix} u \\ v \end{bmatrix}$$

#### Eigenvalues and eigenvectors of H

- Define shifts with the smallest and largest change (E value)
- x<sub>+</sub> = direction of largest increase in E.
- $\lambda_{+}$  = amount of increase in direction  $x_{+}$
- x<sub>-</sub> = direction of smallest increase in E.
- $\lambda$  = amount of increase in direction x<sub>-</sub>

$$Hx_{+} = \lambda_{+}x_{+}$$
$$Hx_{-} = \lambda_{-}x_{-}$$

# **Recap: Harris Operator**

• "Harris operator" for corner detection

$$f = \frac{\lambda_{-}\lambda_{+}}{\lambda_{-} + \lambda_{+}}$$
$$= \frac{determinant(H)}{trace(H)}$$

- The trace is the sum of the diagonals, i.e., trace(H) =  $h_{11} + h_{22}$
- Very similar to  $\lambda_{-}$  but less expensive (no square root)
- Called the "Harris Corner Detector" or "Harris Operator"
- Lots of other detectors, this is one of the most popular

#### Harris3D



- Replace image gradients with surface normals  $H = \sum_{i:\mathbf{p}_i \in W} \mathbf{n}_i \mathbf{n}_i^\top$  W: 3D window, f.e. sphere
- Different response functions:

$$f = \det(H) - 0.04 \operatorname{trace}(H)^2$$
$$f = \det(H) / \operatorname{trace}(H)$$
$$f = \det(H) / \operatorname{trace}(H)^2$$
$$f = \lambda_{\min}$$

#### Harris5D



- Can be extended to combined use both color and geometry
- By stacking image gradients and normals

### **Intrinsic Shape Signatures (ISS) Detector**

- Interest measure based on covariance of local point distribution  $\Sigma(\mathbf{p}_i) = \frac{1}{\sum_{j:|\mathbf{p}_j - \mathbf{p}_i| < r} w_j} \qquad j \neq i$  $\sum_{j:|\mathbf{p}_j - \mathbf{p}_i| < r} w_j (\mathbf{p}_i - \mathbf{p}_j) (\mathbf{p}_i - \mathbf{p}_j)^\top$
- Weights account for varying point density  $w_i := \frac{1}{|j:|\mathbf{p}_j \mathbf{p}_i| < r_{\!\!d}|}$
- Compute eigenvalues of local covariance  $\lambda_1 > \lambda_2 > \lambda_3$
- Find local maxima of smallest eigenvalue
  - Constrain by thresholds

$$\frac{\lambda_2}{\lambda_1} < \gamma_{21} \qquad \qquad \frac{\lambda_3}{\lambda_2} < \gamma_{32}$$

to find points with well conditioned eigen vector directions

Y. Zhong, Intrinsic shape signatures: A shape descriptor for 3D object recognition, 2009 Robotic 3D Vision 12



Image from F. Tombari Dr. Niclas Zeller, Artisense GmbH

#### **Local Reference Frame**

- Extract local reference frames from eigen vectors to align rotationvariant descriptor
  - Similar to orientation of 2D image keypoint
- 4 possible cases for right-handed





Image from F. Tombari Dr. Niclas Zeller, Artisense GmbH

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#### **Local Reference Frame: Disambiguation**

- Disambiguate the 4 possible cases by quantifying the support of the directions
- Directions and opposite directions of eigenvectors:
   x<sup>+</sup>, y<sup>+</sup>, z<sup>+</sup>
   x<sup>-</sup>, y<sup>-</sup>, z<sup>-</sup>
- Choose x-axis according to strongest support

$$S_x^+ \doteq \left\{ i : d_i \le R \land (\mathbf{p}_i - \mathbf{p}) \cdot \mathbf{x}^+ \ge 0 \right\}$$
  

$$S_x^- \doteq \left\{ i : d_i \le R \land (\mathbf{p}_i - \mathbf{p}) \cdot \mathbf{x}^- > 0 \right\}$$
  

$$\mathbf{x} = \begin{cases} \mathbf{x}^+, & |S_x^+| \ge |S_x^-| \\ \mathbf{x}^-, & \text{otherwise} \end{cases}$$



- z-direction analogously, y through  $\, {f z} imes {f x} \,$ 

### **3D Keypoint Descriptors**

- Typical approach: Describe local distribution of points and/or surface normals
- How to achieve rotation invariance?
- Popular descriptors:
  - Fast Point Feature Histograms (FPFH)
  - Signature of Histograms of Orientations (SHOT)

• ...

#### **Surfel-Pair Relations**



- Define descriptor based on relationship between surfels
- Surfel  $(\mathbf{p}, \mathbf{n}) \in \mathbb{R}^3 imes \mathbb{R}^3$  : point  $\mathbf{p}$  with normal  $\mathbf{n}$
- Surfel-pair:
  - Source:  $(\mathbf{p}_s, \mathbf{n}_s)$
  - Target:  $(\mathbf{p}_t, \mathbf{n}_t)$

#### **Surfel-Pair Relations**



• Features: geometric relations between two surfels  $f_1 = \mathbf{v}^T \mathbf{n}_t$   $f_3 = \operatorname{atan2}(\mathbf{w}^T \mathbf{n}_t)$ 

 $f_2 = \mathbf{u}^{\mathrm{T}} \qquad f_4 = \|\mathbf{p}_t - \mathbf{p}_s\|_2$ 

- Construct repeatable local coordinate frame between surfels
- Compute 4 features from constructed frame, normal and point coordinates
- Rotation-invariant features!

### **Point Feature Histogram (PFH)**

- Describe local neighborhood of a point by histogram of surfel-pair relations
  - Neighborhood is defined by a certain radius r
- Calculate 4D histogram based on surfel-pair relation features
  - 4D histogram can be stacked in a 1D vector



 $P_{10}$   $P_{k5}$   $P_{k1}$   $P_{k2}$   $P_{k2}$   $P_{k3}$   $P_{k3}$   $P_{6}$ 

• RGB-D camera: density depends on depth (distance to sensor)

#### **Point Feature Histogram (PFH)**

- Examples of Point feature Histograms
  - Similarity measure based on histogram intersection

$$d(PFH_1, PFH_2) = \sum_{i=1}^{N_{\text{bins}}} \min(PFH_1[i], PFH_2[i])$$



### Fast Point Feature Histogram (FPFH)

- PFH has complexity  $O(nk^2)$ 
  - where n is the number of points in the point cloud and k is the number of considered neighbors for each point

**P**<sub>12</sub>

**0** p<sub>14</sub>

**P**<sub>15</sub>

- Fast Point Feature Histogram (FPFH)
  - Simplified Point Feature Histogram (SPFH)
    - Based on surfel-pair relations between point and its local neighbors
  - Accumulate SPFHs in local point neighborhood to obtain FPFH

$$FPFH(\boldsymbol{p}_q) = SPFH(\boldsymbol{p}_q) + \frac{1}{k} \sum_{i=1}^{k} \frac{1}{\omega_k} \cdot SPFH(\boldsymbol{p}_k) \qquad \mathbf{p}_{16}$$
Distance between points

- Some relations are contributing twice
- Additional relations are added

**P**<sub>17</sub>

#### Fast Point Feature Histogram (FPFH)



#### Signature of Histograms of Orientations (SHOT)

- Describe spatial distribution of relative surface orientation around a keypoint
  - Discretize spherical volume around keypoint
  - Discretize spatial bins into angular bins



- For each neighboring point, determine spatial bin and the angular bin for the angle between its surface normal and the normal of the keypoint
- Align spherical grid with local reference frame to obtain rotationinvariance



#### **Deep Learning Based Features**

- Deep Learning based 3D detectors and descriptors did gain popularity in recent years
  - Challenge is the irregular structure of 3D point clouds
  - Initial approaches didn't consider spatial neighborhood of points, e.g.
    - PointNet, CVPR 2017
  - Recent approaches try to mimic convolutions on point clouds based on local neighborhood operations, e.g.
    - Groh et al. Flex-Convolution, ACCV 2018
    - Li et al. PointCNN, NIPS 2018
    - ...
  - In gereral require fixed size point cloud

#### **Deep Learning Based Features**

- Keypoint detector
  - USIP: Unsupervised Stable Interest Point Detection from 3D Point Clouds
    - Li et al., ICCV 2019



- Local 3D point descriptor
  - DH3D: Deep Hierarchical
     3D Descriptors for Robust
     Large-Scale 6DoF Relocalization
    - Du et al., ECCV 2020



#### **Pose Refinement**

- So far, detection strategies provide only a coarse pose estimate
  - Based on keypoint associations (only subset of points)
- Popular strategy for pose refinement
  - Iterative Closest Points (ICP)
- Align scene measurements with model point cloud
  - Using all available points





Scene Model

#### **Iterative Closest Points (ICP)**

- Key Idea
  - If we knew the correspondences of points between scene and model, we could directly solve for the 3D-to-3D motion (rotation/translation) estimate



Image from Cyrill Stachniss

# **Recap: 3D-to-3D Motion Estimation**

 Given corresponding 3D points in two camera frames

$$\mathcal{X}_{t-1} = \{\mathbf{x}_{t-1,1}, \dots, \mathbf{x}_{t-1,N}\}$$

 $\mathcal{X}_t = \{\mathbf{x}_{t,1}, \dots, \mathbf{x}_{t,N}\}$ determine relative camera pose  $\mathbf{T}_t^{t-1}$ 



- Idea: determine rigid transformation that aligns the 3D points
- Geometric least squares error:  $E\left(\mathbf{T}_{t}^{t-1}\right) = \sum_{i=1}^{N} \left\|\overline{\mathbf{x}}_{t-1,i} \mathbf{T}_{t}^{t-1}\overline{\mathbf{x}}_{t,i}\right\|_{2}^{2}$
- Closed-form solutions available, f.e. Arun et al., 1987
- Applicable e.g. to RGB-D cameras or also Lidar
  - Should only be used if we have very accurate depth

## Recap: 3D Rigid-Body Motion from 3Dto-3D Matches

- Arun et al., Least-squares fitting of two 3-d point sets, IEEE PAMI, 1987
- Corresponding 3D points,  $N \ge 3$

$$\mathcal{X}_{t-1} = \{\mathbf{x}_{t-1,1}, \dots, \mathbf{x}_{t-1,N}\} \qquad \qquad \mathcal{X}_t = \{\mathbf{x}_{t,1}, \dots, \mathbf{x}_{t,N}\}$$

• Determine means of 3D point sets

$$\boldsymbol{\mu}_{t-1} = \frac{1}{N} \sum_{i=1}^{N} \mathbf{x}_{t-1,i}$$

$$\boldsymbol{\mu}_t = \frac{1}{N} \sum_{i=1}^N \mathbf{x}_{t,i}$$

• Determine rotation from

$$\mathbf{A} = \sum_{i=1}^{N} \left( \mathbf{x}_{t-1} - \boldsymbol{\mu}_{t-1} \right) \left( \mathbf{x}_{t} - \boldsymbol{\mu}_{t} \right)^{\top} \qquad \mathbf{A} = \mathbf{U} \mathbf{S} \mathbf{V}^{\top} \qquad \mathbf{R}_{t-1}^{t} = \mathbf{V} \mathbf{U}^{\top}$$

• Determine translation as  $\mathbf{t}_{t-1}^t = \boldsymbol{\mu}_t - \mathbf{R}_{t-1}^t \boldsymbol{\mu}_{t-1}$ 

#### **Iterative Closest Points (ICP)**

- If the correct correspondences are not known, it is generally impossible to determine the optimal relative motion (rotation/translation) in one step
- Idea: Iteratively and alternatingly estimate correspondences and pose alignment between point sets  $P = \{\mathbf{p}_i\}_{i=1}^N$  and  $Q = \{\mathbf{q}_j\}_{j=1}^M$



#### **Iterative Closest Points (ICP)**

• Idea: Iteratively and alternatingly estimate correspondences and pose alignment between point sets  $P = \{\mathbf{p}_i\}_{i=1}^N$  and  $Q = \{\mathbf{q}_j\}_{i=1}^M$ 



Image adapted from Cyrill Stachniss

#### **Keypoint Alignment and ICP Example**

**Iteration 0** 



https://www.youtube.com/watch?v=uzOCS\_gdZuM

#### **Data Association for ICP**

Closest-points matching



- Normal shooting
  - Requires normal calculation
  - Better convergence than closest-point for smooth structures



Images from Cyrill Stachniss

#### **Projective Data Association**

- For aligning depth or point measurements from a sensor, we can use projective data association
- Warping of measured 3D point
  Analogous association as in direct image alignment!

### **Outlier Rejection for ICP**

 Optionally perform outlier rejection



(a) Rejection based on the distance between the points.



(b) Rejection based on normal compatibility.





- (c) Rejection of pairs with duplicate target matches.
- (d) Rejection of pairs that contain boundary points.

### **ICP Alignment Objectives**

• Alignment objectives: point-point, point-plane, GICP

$$E_{\text{point-to-point}} (\boldsymbol{T}) = \sum_{k=1}^{N} w_{k} || \boldsymbol{T} \boldsymbol{p}_{k} - \boldsymbol{q}_{k} ||^{2}, \text{ and}$$

$$E_{\text{point-to-plane}} (\boldsymbol{T}) = \sum_{k=1}^{N} w_{k} \left( (\boldsymbol{T} \boldsymbol{p}_{k} - \boldsymbol{q}_{k}) \cdot \boldsymbol{n}_{\boldsymbol{q}_{k}} \right)^{2}$$

$$E_{\text{Generalized-ICP}} (\boldsymbol{T}) = \sum_{k=1}^{N} \boldsymbol{d}_{k}^{(\boldsymbol{T})^{T}} \left( \boldsymbol{\Sigma}_{k}^{Q} + \boldsymbol{T} \boldsymbol{\Sigma}_{k}^{P} \boldsymbol{T}^{T} \right)^{-1} \boldsymbol{d}_{k}^{(\boldsymbol{T})}$$



(a) Point to point error (b) Point to plane error

(c) Generalized-ICP

Images from Holz et al., 2015 Dr. Niclas Zeller, Artisense GmbH

#### **ICP Alignment Objectives**

- Point-to-Point vs. Point-to-plane
  - Requires normal calculation for one of the point clouds
  - Each iteration is generally slower than point-to-point version
  - However, often significantly better convergence rate
  - Using point-to-plane distance instead of point-to-point lets flat regions slide along each other



Images from Cyrill Stachniss

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#### **ICP Alignment Objectives**

- Generalized ICP
  - Probabilistic modelling of point clouds
  - Where to get covariance matrices from
    - directly available from sensor measurements
    - Can be estimated from point distribution
    - Covariance matrix needs to be calculated from both point clouds

#### **Lessons Learned Today**

- 3D object detection with local 3D keypoints
  - 3D keypoint detector derived from 2D detector, e.g. Harris3D
  - Intrinsic Shape Signatures detector: points at strong surface curvature
  - 3D keypoint description
    - Extraction of local 3D reference frame from point distribution
    - PFH, SHOT descriptors
- Iterative Closest Points algorithm for point cloud alignment

Thanks for your attention!

# **Slides Information**

- These slides have been initially created by Jörg Stückler as part of the lecture "Robotic 3D Vision" in winter term 2017/18 at Technical University of Munich.
- The slides have been revised by myself (Niclas Zeller) for the same lecture held in winter term 2020/21
- Acknowledgement of all people that contributed images or video material has been tried (please kindly inform me if such an acknowledgement is missing so it can be added).