

Computer Vision Group Prof. Daniel Cremers



## **Robotic 3D Vision**

## Lecture 17: 3D Object Tracking

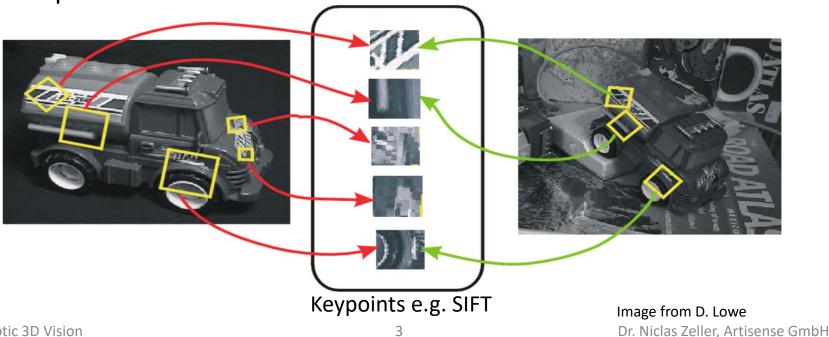
WS 2020/21 Dr. Niclas Zeller Artisense GmbH

## What We Will Cover Today

- Iterative closest points algorithm (leftover from last lecture)
- Introduction to object tracking
- Tracking-by-registration
- Multi-object tracking based on filtering

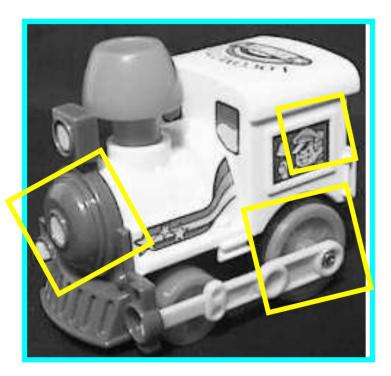
#### **Recap: Object Detection with Local Features**

- Can we make use of local features to detect a certain object in ۲ the scene?
  - Detect and match a set of local keypoints between model and • scene (image)
  - Object detection is supposed to be invariant to different view points



## **Recap: Hough Voting: 2D-to-2D Matching**

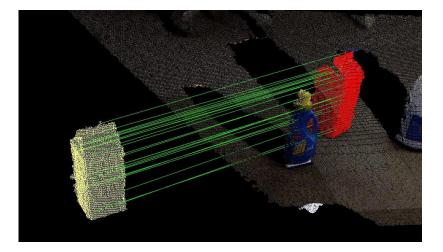
• Oriented local 2D keypoint matches cast votes for affine transformations (f.e. 2D translation, scale & 2D rotation)





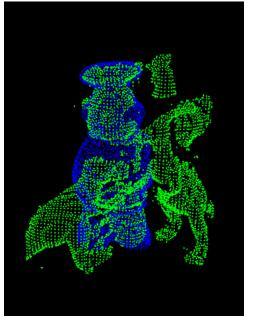
#### Recap: 3D Object Detection with Local Keypoints

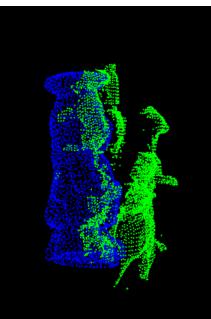
- Render views of 3D CAD models and extract keypoints for rendered views
- Or Extract keypoints directly from 3D object models (f.e. CAD or scanned)
  - Rely only on geometry
  - Not on visual appearance



#### **Pose Refinement**

- So far, detection strategies provide only a coarse pose estimate
  - Based on keypoint associations (only subset of points)
- Popular strategy for pose refinement
  - Iterative Closest Points (ICP)
- Align scene measurements with model point cloud
  - Using all available points





Scene Model

## **Iterative Closest Points (ICP)**

- Key Idea
  - If we knew the correspondences of points between scene and model, we could directly solve for the 3D-to-3D motion (rotation/translation) estimate

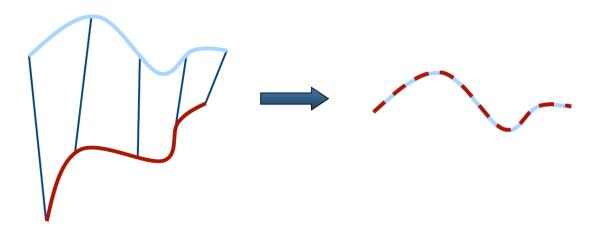


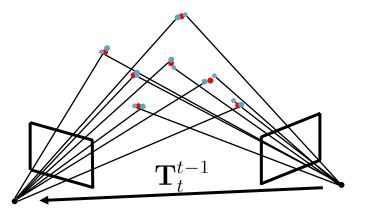
Image from Cyrill Stachniss

## **Recap: 3D-to-3D Motion Estimation**

 Given corresponding 3D points in two camera frames

$$\mathcal{X}_{t-1} = \{\mathbf{x}_{t-1,1}, \dots, \mathbf{x}_{t-1,N}\}$$

 $\mathcal{X}_t = \{\mathbf{x}_{t,1}, \dots, \mathbf{x}_{t,N}\}$ determine relative camera pose  $\mathbf{T}_t^{t-1}$ 



- Idea: determine rigid transformation that aligns the 3D points
- Geometric least squares error:  $E\left(\mathbf{T}_{t}^{t-1}\right) = \sum_{i=1}^{N} \left\|\overline{\mathbf{x}}_{t-1,i} \mathbf{T}_{t}^{t-1}\overline{\mathbf{x}}_{t,i}\right\|_{2}^{2}$
- Closed-form solutions available, f.e. Arun et al., 1987
- Applicable e.g. to RGB-D cameras or also Lidar
  - Should only be used if we have very accurate depth

## Recap: 3D Rigid-Body Motion from 3Dto-3D Matches

- Arun et al., Least-squares fitting of two 3-d point sets, IEEE PAMI, 1987
- Corresponding 3D points,  $N \ge 3$

$$\mathcal{X}_{t-1} = \{\mathbf{x}_{t-1,1}, \dots, \mathbf{x}_{t-1,N}\} \qquad \qquad \mathcal{X}_t = \{\mathbf{x}_{t,1}, \dots, \mathbf{x}_{t,N}\}$$

• Determine means of 3D point sets

$$\boldsymbol{\mu}_{t-1} = \frac{1}{N} \sum_{i=1}^{N} \mathbf{x}_{t-1,i}$$

$$\boldsymbol{\mu}_t = \frac{1}{N} \sum_{i=1}^N \mathbf{x}_{t,i}$$

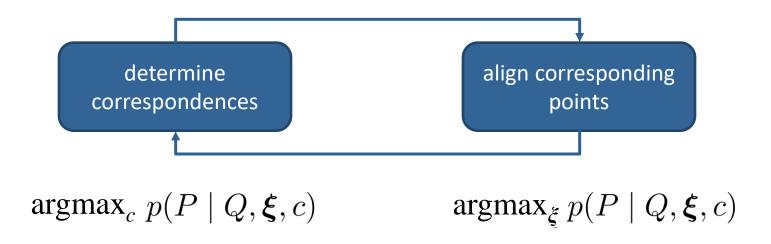
• Determine rotation from

$$\mathbf{A} = \sum_{i=1}^{N} \left( \mathbf{x}_{t-1} - \boldsymbol{\mu}_{t-1} \right) \left( \mathbf{x}_{t} - \boldsymbol{\mu}_{t} \right)^{\top} \qquad \mathbf{A} = \mathbf{U} \mathbf{S} \mathbf{V}^{\top} \qquad \mathbf{R}_{t-1}^{t} = \mathbf{V} \mathbf{U}^{\top}$$

• Determine translation as  $\mathbf{t}_{t-1}^t = \boldsymbol{\mu}_t - \mathbf{R}_{t-1}^t \boldsymbol{\mu}_{t-1}$ 

## **Iterative Closest Points (ICP)**

- If the correct correspondences are not known, it is generally impossible to determine the optimal relative motion (rotation/translation) in one step
- Idea: Iteratively and alternatingly estimate correspondences and pose alignment between point sets  $P = \{\mathbf{p}_i\}_{i=1}^N$  and  $Q = \{\mathbf{q}_j\}_{j=1}^M$



#### **Iterative Closest Points (ICP)**

• Idea: Iteratively and alternatingly estimate correspondences and pose alignment between point sets  $P = \{\mathbf{p}_i\}_{i=1}^N$  and  $Q = \{\mathbf{q}_j\}_{i=1}^M$ 

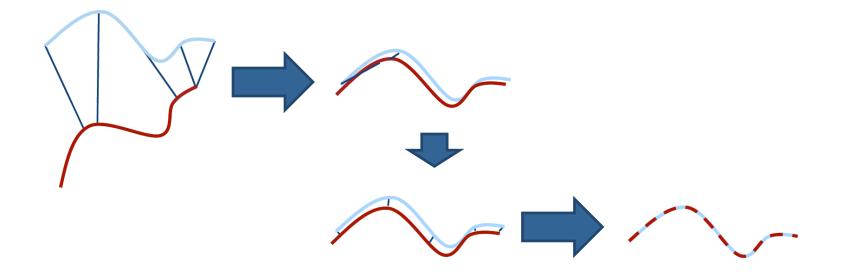
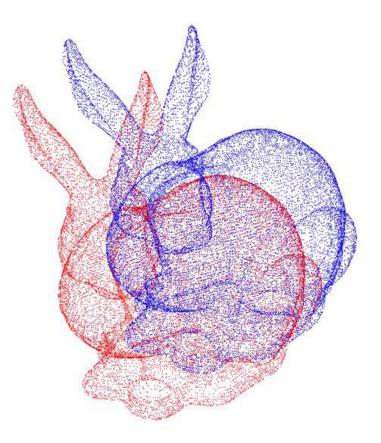


Image adapted from Cyrill Stachniss

## **Keypoint Alignment and ICP Example**

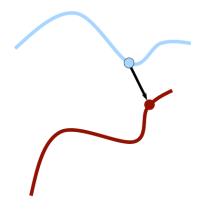
**Iteration 0** 



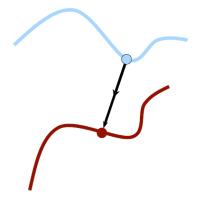
https://www.youtube.com/watch?v=uzOCS\_gdZuM

#### **Data Association for ICP**

Closest-points matching



- Normal shooting
  - Requires normal calculation
  - Better convergence than closest-point for smooth structures



Images from Cyrill Stachniss

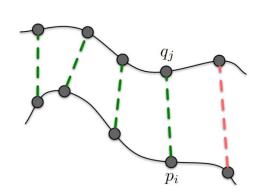
### **Projective Data Association**

- For aligning depth or point measurements from a sensor, we can use projective data association
- Warping of measured 3D point
  Analogous association as in direct image alignment!

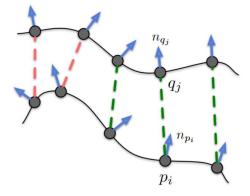
Image from R. Newcombe 2013

## **Outlier Rejection for ICP**

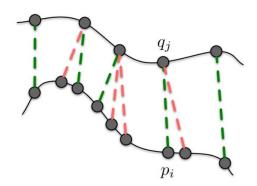
 Optionally perform outlier rejection

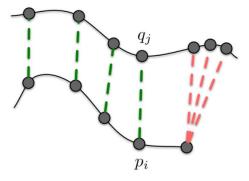


(a) Rejection based on the distance between the points.



(b) Rejection based on normal compatibility.





- (c) Rejection of pairs with duplicate target matches.
- (d) Rejection of pairs that contain boundary points.

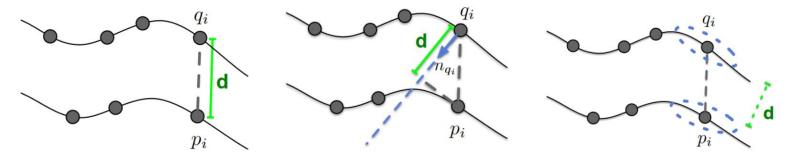
## **ICP Alignment Objectives**

• Alignment objectives: point-point, point-plane, GICP

$$E_{\text{point-to-point}} (\boldsymbol{T}) = \sum_{k=1}^{N} w_{k} || \boldsymbol{T} \boldsymbol{p}_{k} - \boldsymbol{q}_{k} ||^{2}, \text{ and}$$

$$E_{\text{point-to-plane}} (\boldsymbol{T}) = \sum_{k=1}^{N} w_{k} \left( (\boldsymbol{T} \boldsymbol{p}_{k} - \boldsymbol{q}_{k}) \cdot \boldsymbol{n}_{\boldsymbol{q}_{k}} \right)^{2}$$

$$E_{\text{Generalized-ICP}} (\boldsymbol{T}) = \sum_{k=1}^{N} \boldsymbol{d}_{k}^{(\boldsymbol{T})^{T}} \left( \boldsymbol{\Sigma}_{k}^{Q} + \boldsymbol{T} \boldsymbol{\Sigma}_{k}^{P} \boldsymbol{T}^{T} \right)^{-1} \boldsymbol{d}_{k}^{(\boldsymbol{T})}$$



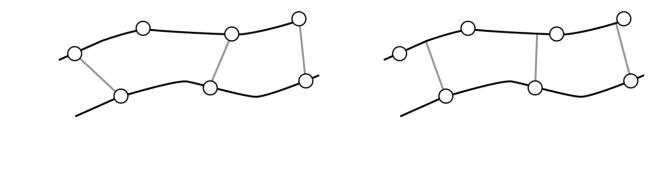
(a) Point to point error (b) Point to plane error

(c) Generalized-ICP

Images from Holz et al., 2015 Dr. Niclas Zeller, Artisense GmbH

## **ICP Alignment Objectives**

- Point-to-Point vs. Point-to-plane
  - Requires normal calculation for one of the point clouds
  - Each iteration is generally slower than point-to-point version
  - However, often significantly better convergence rate
  - Using point-to-plane distance instead of point-to-point lets flat regions slide along each other



point-to-point

point-to-plane

Images from Cyrill Stachniss

## **ICP Alignment Objectives**

- Generalized ICP
  - Probabilistic modelling of point clouds
  - Where to get covariance matrices from
    - directly available from sensor measurements
    - Can be estimated from point distribution
    - Covariance matrices need to be calculated for both point clouds

## What is Object Tracking?

- Goal
  - Estimate the number and state of objects in a region of interest
- State
  - We are using the term state to describe a vector of quantities that characterize the object being tracked.

• Because observations are typically noisy, estimating the state vector is a statistical estimation problem.

## What is Object Tracking?

- Goal
  - Estimate the number and state of objects in a region of interest
- Variety of objects to track (e.g. persons, cars)
- 3D tracking: Tracking 3D location of an object
  - W.r.t. camera frame or world frame (requires ego-motion • compensation)
- Articulated tracking: e.g. tracking body pose



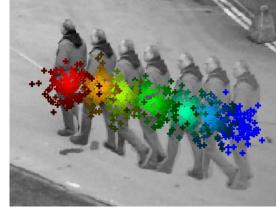




Image sources: Kristen Grauman, Michael Breitenstein, Ahmed Elgammal Dr. Niclas Zeller, Artisense GmbH

- Single-object tracking
  - Focuses on tracking a single target in isolation.



• Multi-object tracking



#### Stereo Vision-based Semantic 3D Object and Ego-motion Tracking for Autonomous Driving

Peiliang Li, Tong Qin and Shaojie Shen | HKUST UAV Group

http://uav.ust.hk/

(Li, Qin, Shen, ECCV 2018)

https://www.youtube.com/watch?v=nE2XtCvPEDk

Robotic 3D Vision

• Articulated tracking

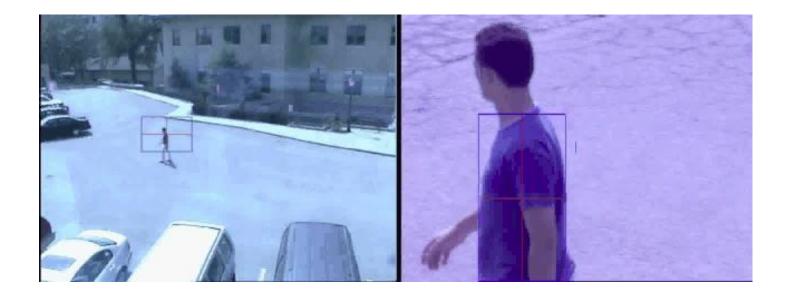
parts

- Tries to estimate the motion of objects with multiple, coordinated
  - 00:03:29 . . . . . T 00:03

[I. Matthews, S. Baker, IJCV'04]

Slide credit: Robert Collins

- Active tracking
  - Involves moving the sensor in response to motion of the target. Needs to be real-time!
    - Due to control feed-back, latency is quite important



Slide credit: Robert Collins

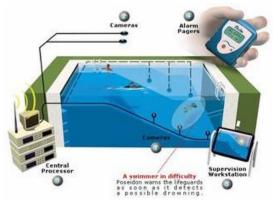
## **Applications: Safety & Security**



Autonomous robots



Driver assistance



Monitoring pools (Poseidon)



Pedestrian detection [MERL, Viola et al.]



Slide credit: Kristen Grauman

## Applications: Human-Computer Interaction



Games (Microsoft Kinect)

Assistive technology systems Camera Mouse (Boston College)

Slide adapted from Kristen Grauman

## **Applications: Visual Effects**



MoCap for Pirates of the Carribean, Industrial Light and Magic

Slide adapted from Steve Seitz, Svetlana Lazebnik, Kristen Grauman

## **Factors: Distinguishability**

• How easy is it to distinguish one object from another?



Appearance models can do all the work



Constraints on geometry and motion become crucial

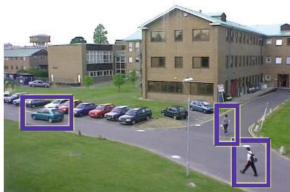
Slide credit: Robert Collins

#### **Factors: Frame Rate**

#### frame n

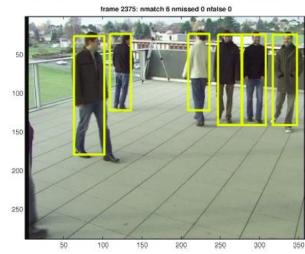
frame n+1



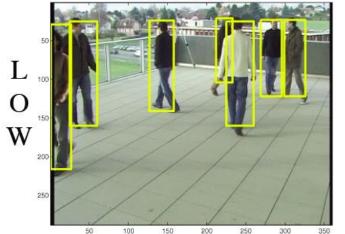


Using state prediction for data association might be sufficient









Much harder search problem. Good data association becomes crucial.

Slide credit: Robert Collins

**Robotic 3D Vision** 

## **Other Factors**

- Single target *vs.* multiple targets
- Single camera *vs.* multiple cameras
- On-line *vs.* batch mode
- Do we have a good generic detector?
   (e.g., faces, pedestrians)
- Does the object have multiple parts?



t=1

t=2

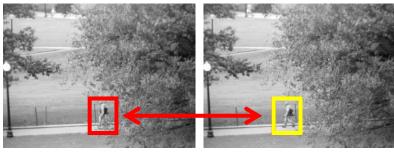


t=20

t=21

- Detection
  - Find the object(s) of interest in the image.

Image credit: Kristen Grauman



t=1

t=2

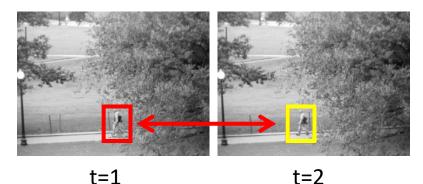


t=20

t=21

- Detection
  - Find the object(s) of interest in the image.
- Association
  - Determine which observations come from the same object.

Image credit: Kristen Grauman





t=20

t=21

- Detection
  - Find the object(s) of interest in the image.
- Association
  - Determine which observations come from the same object.
- Prediction
  - Predict future motion based on the observed motion pattern.
  - Use this prediction to improve detection and data association in later frames.

Image credit: Kristen Grauman

## **3D Object Tracking Approaches**

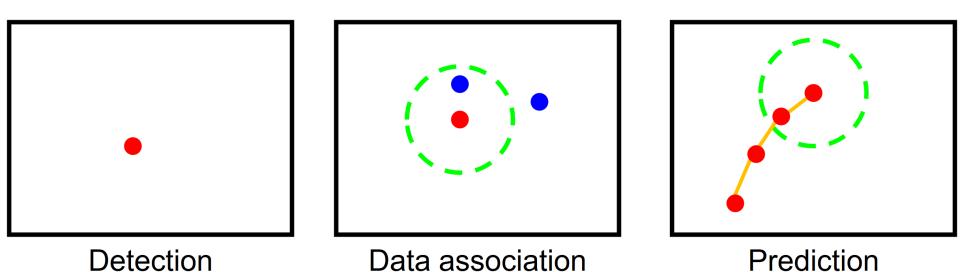
- Strategy 1: Tracking-by-detection
  - Detect object in each frame individually
- Strategy 3: Tracking-by-registration
  - From an initial guess (detection) perform incremental registration
- Strategy 2: Tracking-by-filtering
  - Detect object as measurement within probabilistic filter

## **Tracking-by-Registration**

- Consider the following approach:
  - Describe object as a set of points  $\mathcal{X} = \{\mathbf{x}_i\}_{i=1}^N$  in its reference frame
  - Optimize for the pose  $\boldsymbol{\xi} \in se(3)$  that aligns object points with measurements  $\mathcal{Y} = \{\mathbf{y}_j\}_{j=1}^M$  at each time step

$$E(\boldsymbol{\xi}) = \frac{1}{2} \sum_{(i,j) \in \mathcal{C}} \left\| \mathbf{x}_i - \mathbf{y}_j \right\|_2^2$$

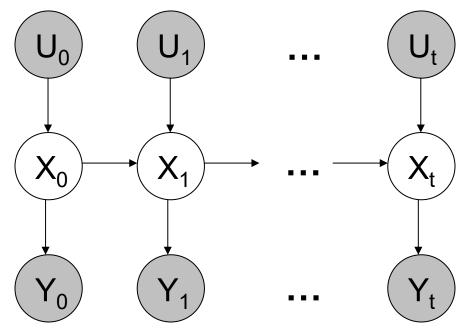
- Non-linear least squares...
- However this requires to decide
  - which scene points belong to the object (segmentation)
  - which object and scene points correspond to each other
- Could be solved using an ICP-like approach



- Detection: Where are candidate objects?
- Data association: Which detections belong to the same object?
- Prediction: Where will a tracked object be in the next time step?

#### Recap: Probabilistic Model of Time-Sequential Processes

- Hidden state X gives rise to noisy observations Y
- At each time t,
  - the state changes stochastically from X<sub>t-1</sub> to X<sub>t</sub>
  - state change depends on action U<sub>t</sub>
  - we get a new observation Y<sub>t</sub>



#### **Recap: Markov Assumptions**

• Only the immediate past matters for a state transition

$$p(X_t|X_{0:t-1}, U_{0:t}) = p(X_t|X_{t-1}, U_t)$$

state transition model

• Observations depend only on the current state

$$p(Y_t|X_{0:t}, U_{0:t}, Y_{0:t-1}) = p(Y_t|X_t)$$

$$(U_0, U_1, \dots, U_t)$$

$$(X_0, X_1, \dots, X_t)$$

$$(Y_0, Y_1, \dots, Y_t)$$

observation model

#### **Recap: Predict-Correct Cycle**

• Prediction:

$$p(X_{t} | y_{0:t-1}, u_{0:t}) = \int p(X_{t} | X_{t-1}, u_{t}) p(X_{t-1} | y_{0:t-1}, u_{0:t-1}) dX_{t-1}$$
observation
$$y_{t}$$
action
$$u_{t}$$

• Correction:

$$p(X_t | y_0, \dots, y_t) = \frac{p(y_t | X_t)p(X_t | y_{0:t-1}, u_{0:t})}{\int p(y_t | X_t)p(X_t | y_{0:t-1}, u_{0:t})dX_t}$$

# **Multi-Object Tracking by Filtering**

Approach: probabilistic filtering of position, velocity, etc. of each object track (state) x based on measurements

$$\mathcal{Y} = \{\mathbf{y}_1, \dots, \mathbf{y}_M\}$$

- One filter per object
- Data association before correction step
- Unassociated measurements create new tracks
- Discard tracks that cannot be associated to measurements

# **Recap: Extended Kalman Filter (EKF)**

• Non-linear state-transition model with Gaussian noise:

$$\mathbf{x}_t = g(\mathbf{x}_{t-1}, \mathbf{u}_t) + \boldsymbol{\epsilon}_t \qquad \boldsymbol{\epsilon} \sim \mathcal{N}(\mathbf{0}, \boldsymbol{\Sigma}_{d_t})$$

- Non-linear observation model with Gaussian noise:  $\mathbf{y}_t = h(\mathbf{x}_t) + \boldsymbol{\delta}_t$   $\boldsymbol{\delta} \sim \mathcal{N}(\mathbf{0}, \boldsymbol{\Sigma}_{m_t})$
- How to cope with non-linear system?
- Idea: linearize the models in each time step

$$\implies \mathbf{x}_t \approx g(\mathbf{x}_{t-1}^0, \mathbf{u}_t) + \nabla g(\mathbf{x}, \mathbf{u}_t)|_{\mathbf{x} = \mathbf{x}_{t-1}^0} \left( \mathbf{x}_{t-1} - \mathbf{x}_{t-1}^0 \right) + \boldsymbol{\epsilon}_t$$

$$\mathbf{\mathbf{y}}_t \approx h(\mathbf{x}_t^0) + \nabla h(\mathbf{x})|_{\mathbf{x}=\mathbf{x}_t^0} \left(\mathbf{x}_t - \mathbf{x}_t^0\right) + \boldsymbol{\delta}_t$$

## **Recap: EKF Prediction & Correction**

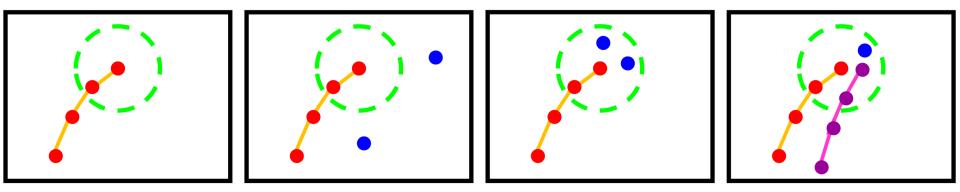
- Efficient approximate correction and prediction steps which involve manipulation of Gaussians and linearization
- The state estimate can be represented as a Gaussian distribution

$$\mathbf{x}_t \sim \mathcal{N}(\boldsymbol{\mu}_t, \boldsymbol{\Sigma}_t)$$

• Prediction: 
$$\boldsymbol{\mu}_t^- = g(\boldsymbol{\mu}_{t-1}^+, \mathbf{u}_t)$$
  
 $\boldsymbol{\Sigma}_t^- = \mathbf{G}_t \boldsymbol{\Sigma}_{t-1}^+ \mathbf{G}_t^\top + \boldsymbol{\Sigma}_{d_t}$   $\mathbf{G}_t \coloneqq \nabla g(\mathbf{x}, \mathbf{u}_t)|_{\mathbf{x} = \boldsymbol{\mu}_{t-1}^+}$ 

• Correction:  $\mathbf{K}_t = \mathbf{\Sigma}_t^- \mathbf{H}_t^\top \left( \mathbf{H}_t \mathbf{\Sigma}_t^- \mathbf{H}_t^\top + \mathbf{\Sigma}_{m_t} \right)^{-1}$  $\boldsymbol{\mu}_t^+ = \boldsymbol{\mu}_t^- + \mathbf{K}_t \left( \mathbf{y}_t - h(\boldsymbol{\mu}_t^-) \right) \qquad \mathbf{H}_t := \nabla h(\mathbf{x})|_{\mathbf{x} = \boldsymbol{\mu}_t^-}$  $\mathbf{\Sigma}_t^+ = \left( \mathbf{I} - \mathbf{K}_t \mathbf{H}_t \right) \mathbf{\Sigma}_t^-$ 

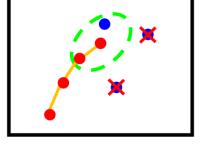
# What Makes Multi-Object Tracking Difficult?

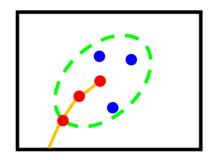


- Predictions may not be supported by detections
  - Occlusion or end of track?
- Unexpected measurements
  - New objects or outliers?
- Correspondence ambiguity for a prediction
  - Which measurement is the correct one?
- Correspondence ambiguity for a measurement
  - Which object track shall the measurement belong to?

#### **Gating Nearest Neighbor Data Association**

- Gating
  - Only consider measurements within a certain area around the predicted location
  - ⇒Large gain in efficiency, since only a small region needs to be searched
- Nearest Neighbor Association
  - Among the candidates in the gating region, only take the one closest to the prediction





# **Gating with Mahalanobis Distance**

- Recall: Kalman Filter
  - Maintains a Gaussian state estimate  $\,oldsymbol{\mu}_t$ ,  $\,oldsymbol{\Sigma}_t$
- Perform gating based on the distribution of prediction and measurement

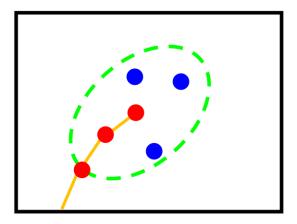
$$\mathcal{N}(h(\boldsymbol{\mu}_t^-), \boldsymbol{\Sigma}_{mt} + \mathbf{H}_t \boldsymbol{\Sigma}_t^- \mathbf{H}_t^T)$$

Mahalanobis Distance

$$d^2 = (\mathbf{y} - \boldsymbol{\mu})^T \boldsymbol{\Sigma}^{-1} (\mathbf{y} - \boldsymbol{\mu})$$

$$\boldsymbol{\mu} = h(\boldsymbol{\mu}_t^-) \qquad \boldsymbol{\Sigma} = \boldsymbol{\Sigma}_{mt} + \mathbf{H}_t \boldsymbol{\Sigma}_t^- \mathbf{H}_t^T$$

- Gating volume is ellipsoidal
- E.g. choose volume that corresponds to 95% of probability mass
  - $d^2$  is  $\chi^2$ -distributed  $\rightarrow$  look up threshold from table Slide adapted from Bastian Leibe



# **Problems with NN Assignment**

- Limitations
  - For NN assignments, there is always a finite chance that the association is incorrect, which can lead to serious effects
  - ⇒ If a Kalman filter is used, a falsely assigned measurement may lead the filter to lose track of its target
  - The NN filter makes assignment decisions only based on the current frame
  - More information is available by examining subsequent images
  - ⇒ Data association decisions could be postponed until a future frame will resolve the ambiguity
- More powerful approaches
  - Multi-Hypothesis Tracking (MHT)
    - Well-suited for KF, EKF approaches
  - Particle filter based approaches

# **Lessons Learned Today**

- Object tracking involves detection, motion estimation (prediction) and data association over time
- 3D object tracking of an object model through registration
  - ICP-based tracking-by-registration
- Multi-object tracking involves a harder data association problem
  - Gated Nearest Neighbor filter

#### Thanks for your attention!

# **Slides Information**

- These slides have been initially created by Jörg Stückler as part of the lecture "Robotic 3D Vision" in winter term 2017/18 at Technical University of Munich.
- The slides have been revised by myself (Niclas Zeller) for the same lecture held in winter term 2020/21
- Acknowledgement of all people that contributed images or video material has been tried (please kindly inform me if such an acknowledgement is missing so it can be added).